



A
MODERN APPRENTICESHIP
IN
Learning and Development

**FRAMEWORK DOCUMENT
FOR
SCOTLAND**

Employment NTO

**November 2002
(Issue 1)**

*This framework is managed on behalf of
the Employment NTO by:*



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Employment NTO

Modern Apprenticeship

in

Learning and Development

Framework Document for Scotland

*Endorsed for use within Skillseekers in Scotland by the
Modern Apprenticeship Implementation Group on 21 November 2002*

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(Chairman of the Modern Apprenticeship Implementation Group)*

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1 Modern Apprenticeships in Scotland

What are Modern Apprenticeships?

Modern Apprenticeships are training initiatives aimed at developing highly skilled employees.

Who develops them?

Modern Apprenticeships are developed by Sector Skills Councils (SSCs). SSCs consult with employers and key partners in their sector to produce a training programme, which meets the needs of employers.**

Who are they for?

Modern Apprenticeships are available to any employee aged 16 or over. There are no formal entry requirements – but employees need to demonstrate to their employer that they have the potential to complete the programme.

What's in a Modern Apprenticeship?

In Scotland, there are more than 70 different types of Modern Apprenticeship and they all contain the same 3 basic ingredients:

- S\NVQ level 3
- Core Skills
- Industry specific training

Details of the content of this specific Modern Apprenticeship are given in the next section.

****Sector Skills Councils**

Sector Skills Councils are independent, UK-wide organisations developed by groups of influential employers in industry or business sectors of economic or strategic significance. They exist to tackle the skills and productivity needs of their sector. One of their responsibilities is the development and promotion of Modern Apprenticeships.

A network of Sector Skills Councils is presently being developed by the Sector Skills Development Agency (SSDA). Until the network is complete, some Modern Apprenticeships will be managed by former National Training Organisations – or other organisations appointed by the SSDA. Information about the SSDA is available at: www.ssda.org.uk

For the sake of consistency, the term SSC has been used throughout this document to refer not only to Sector Skills Councils, but also former National Training Organisations and other organisations approved by SSDA as having responsibility for the management of the Scottish Modern Apprenticeship.

The Scottish Modern Apprenticeship in Learning and Development is managed by the Sector Skills Alliance Scotland (SSAScot.) on behalf of the Employment NTO.

2 Modern Apprenticeships in Learning and Development

The Modern Apprenticeship in Learning and Development is generic in nature and is relevant to all employers who are involved in the delivery of training in the workplace. It will appeal to both large and small employers across most sectors of the workforce.

The framework will be particularly relevant to the following groups:

- Workplace Trainer
- Trainer
- Mentor
- Training Assistant
- Occupational Trainer
- Internal Verifier
- Training Administrator.

On completion of the Modern Apprenticeship framework, apprentices would be expected to progress to the following occupations:

- Training Manager
- Training Officer
- Training Quality Manager
- College Tutor (Vocational)
- Human Resources Manager
- Training and Development Officer
- Learning Centre Supervisor

3 Summary of framework

Diagram showing the contents of the Modern Apprenticeship in Learning and Development

Mandatory outcomes

SVNVQ Level 3

One of the following SVNVQs must be achieved:

- SVNVQ Learning and Development (awaiting code from SQA)
- SVNVQ Direct Training and Support (awaiting code from SQA)

Core Skills

- | | |
|--------------------------|----------------------------|
| • Communication | Intermediate 2* (D6C704)** |
| • Working With Others | Intermediate 1* (D6CK04)** |
| • Problem Solving | Intermediate 1* (D6CE04)** |
| • Information Technology | Intermediate 1* (D6CP04)** |
| • Numeracy | Intermediate 1* (D6CA04)** |

*All core skills must be separately assessed and certificated

** Codes are for SQA core skills. Core Skills from other awarding bodies may also be used in this framework.

Enhancements

One or more appropriate, job related, SVQ unit at Level 3 or above

Optional Outcomes

Additional SVNVQ Units/Qualifications/Training

Employers may add any other qualifications or training programmes, which are relevant to the needs of the business or apprentice.

4 The framework

The mandatory and optional content of the Modern Apprenticeship in Learning and Development is as follows:

Mandatory Outcomes

1 S/NVQ

Each apprentice is required to achieve ONE of the following S/NVQs:

- S/NVQ Learning and Development, Level 3 (*awaiting code from SQA*)
- S/NVQ Direct Training and Support, Level 3 (*awaiting code from SQA*)

Scottish Vocational Qualifications (SVQs) are work-based qualifications, which are based on national standards of competence drawn up by representatives from each industry sector. SVQs are made up of units – normally between six and ten – which break down a job into separate functions reflecting the different kinds of activities of a job. SVQs are available in five levels – although most are at level 2 and level 3. When someone has achieved an SVQ, there is a guarantee that they have the skills and knowledge they need to do their job. All Scottish Modern Apprenticeships must contain an SVQ (or NVQ) at level 3 or above.

2 Core Skills

Each apprentice is required to achieve the following core skills:

- | | |
|--------------------------|-----------------|
| • Communication | Intermediate 2* |
| • Working with others | Intermediate 1* |
| • Problem Solving | Intermediate 1* |
| • Information Technology | Intermediate 1* |
| • Numeracy | Intermediate 1* |

**All core skills must be separately assessed and certificated.*

Core Skills are skills and abilities, which everyone needs in their work. This is true for every job in every workplace. Core Skills also feature in National Qualifications such as Standard Grades and Highers and from 2000, Scottish candidates have been issued with a core skills profile on their Scottish Qualifications Certificate. Candidates who have already been certificated as achieving core skills at the levels given above – either in the workplace or at school or college - do not need to repeat these core skills as part of the Modern Apprenticeship framework.

3 Enhancements

Candidates must also achieve ONE additional – job related -S/NVQ unit at level 3 or above

Optional Outcomes

Employers may also wish to include other qualifications and training which are relevant to the need of the business and the apprentice.

5 Registration and certification

The Scottish Learning and Development Modern Apprenticeship is managed by the Sector Skills Alliance Scotland (SSAScot.) on behalf of the Employment NTO. SSAScot. is the first point of contact in Scotland for any enquiries in relation to the framework. Contact details:

Sector Skills Alliance Scotland
28 Castle Street
Edinburgh EH2 3HT
Tel: 0131 226 7726
Fax: 0131 220 6431
Email: stuart.mckenna@ssascot.org.uk

SSAScot will register all Scottish Modern Apprentices undertaking this framework. **All Modern Apprentices must be registered within 4 weeks of starting their apprenticeship.** Registration can be made by completing the Training Plan and Training Agreement in Appendix 3 and sending these to the above address. Further copies of these forms are available on request.

SSAScot will issue a Modern Apprenticeship Certificate of Completion to those Modern Apprentices who have completed the mandatory outcomes of the framework. Before a certificate is issued, employers must submit evidence to SSAScot that the mandatory outcomes have been achieved. This will normally be in the form of photocopies of certificates from awarding bodies.

Requests for registration and certification should be made to SSAScot at the address above.

SSAScot Service level

SSAScot undertakes to confirm the registration of candidates in writing within 4 weeks of receipt of the relevant Training Plan and Training Agreement. Each candidate will be issued with a unique registration number.

SSAScot also undertakes to issue Certificates of Completion within 4 weeks of receipt of the appropriate evidence that a candidate has completed the outcomes as stated in the Training Plan.

6 **Recruitment and selection**

The recruitment and selection of modern apprentices is primarily the responsibility of the employer. However, the following guidance is given:

- Employees may enter a Modern Apprenticeship from the age of 16. There is no upper age limit. (See Section 14 for details of funding availability.)
- The Modern Apprenticeship is designed to attract high quality people to the industry. Achievement of academic qualifications is one way of assessing the suitability of applicants. However it should be stressed that no persons should be deterred from applying for a Modern Apprenticeship because of a lack of formal educational qualifications. As well as traditional qualifications such as Standard and Higher Grades employers should also be aware of newer vocational qualifications such as GSVQs.
- The following factors may also influence the selection process:
 - the candidate's Scottish Qualification Certificate or Progress File
 - performance during a formal interview process
 - references
 - relevant work experience
 - trial observation period.
- Employers should be aware of the nature, relevance and quality of foreign qualifications and make appropriate allowances concerning entry requirements.
- In order to promote and maintain the high status of the Modern Apprenticeship within the industry all literature distributed for recruitment purposes should emphasise the high standards of achievement expected of the candidate.
- Employers may wish to contact Careers Scotland and the SSC for advice and guidance on recruitment and selection. The Careers Scotland web site is at: www.careers-scotland.org.uk/CareersScot/web/site/Home/home.asp

7 Equal opportunities

It is mandatory that all participants in this Modern Apprenticeship must conform to Local Enterprise Companies (LECs) contractual requirements on equal opportunities. All employers of Modern Apprentices should have an Equal Opportunities policy statement. Companies considering recruiting a Modern Apprentice may seek advice about equal opportunities from LECs. Contact details for LECs are given on the Scottish Enterprise www.scottish-enterprise.com/ and Highland & Islands Enterprise www.hie.co.uk/ web sites.

8 Health and safety

It is a requirement of this Modern Apprenticeship framework that all aspects of health and safety at work be recognised and all statutory requirements be adhered to.

It is a key aspect of the induction period of the Modern Apprenticeship that apprentices are fully informed both of the regulations and that they and their employers are bound by these regulations. Modern Apprentices should be made aware they, as employees, have clear rights and duties with regard to health and safety.

Any organisation wishing to contract with a LEC to employ a Modern Apprentice will be required to satisfy the LEC as to the adequacy of its Health and Safety policy and systems. Similarly, the LEC must be also be satisfied with the Health and Safety policy and systems of any organisation approved to offer training and development related to the Modern Apprenticeship Framework.

9 Contracts

The following four contracts are essential to the successful outcome of the Modern Apprenticeship programme :

- 1 Normal contract of employment signed by the employer and the Modern Apprentice
- 2 LEC Training Plan. (This only applies to apprentices in receipt of Skillseekers funding.)
- 3 SSAScot Training Agreement - this agreement outlines the basis of the modern apprenticeship, refers to the contract of employment and includes Health and Safety responsibilities.
- 4 SSAScot Training Plan - this plan outlines the selected outcomes and the expected duration of the apprenticeship. Training Plans may be modified to reflect changing circumstances, however it is essential that SSAScot is notified of any changes.

10 Employment status of Modern Apprentices

It is important that the sector offers genuine employment and career prospects to those people it wishes to attract through Modern Apprenticeships. Accordingly, **all apprentices must be employed for the duration of the apprenticeship.**

11 Terms and conditions of employment

In order to compete with other sectors offering Modern Apprenticeships, attractive packages will need to be developed by employers in the sector. The terms and conditions of employment for individual modern apprentices will be agreed between the employer and the apprentice.

12 Training and development

Delivery

Training delivery can take many forms under the Modern Apprenticeship system. Some organisations may become approved S/NVQ Assessment Centres; others may join consortia or use peripatetic assessors. Some large employers will be able to complete all the training and development in-house, but most employers will find that some of the training and development will have to take place away from the normal work-site. In particular the underpinning knowledge requirements are often more suited to delivery by outside training providers which might include:

- private training organisations
- colleges / universities
- other employers

Such knowledge could be delivered through training courses or through open/distance learning packages.

The option of sharing training and assessment resources amongst a cluster of employers (or across the divisions of a larger employer) will be particularly appealing to those firms which do not have the resources to provide all of the training and development. Assessment can be provided by these bodies, but the assessors and the training centre must be approved by the awarding bodies for S/NVQ.

To ensure continuity of standards all local delivery of Modern Apprenticeship training will be subject to Scottish Quality Management Systems (SQMS) – or other appropriate quality system adopted by LECs.

Organisations approved to deliver this Modern Apprenticeship Framework

Because this is a new Modern Apprenticeship, no organisations are presently approved by SSAScot to deliver this framework (November 2002). As centres become approved, their details will be posted on the SSAScot website - www.ssascot.org.uk/modapps.htm - and also on the MASCOT web site – www.mascot.uk.com

The SSAScot training plan

The plan requires to identify:

- 1 The selected framework outcomes, specifying whether or not separate certification of the Core Skills is being sought.
- 2 Any credit to be applied for by Accreditation of Prior Learning by the Modern Apprentice.
- 3 A timetable for achievement of the selected framework outcomes, linked to regular progress reviews.
- 4 A training programme demonstrably addressing the selected framework outcomes.
- 5 An assessment programme.

The Training Plan should take into account any relevant previous training and development, education or work experience. Not all Modern Apprentices need have different plans, but many will vary. Moreover as reviews take place and circumstances change so the plan itself can be modified.

However any changes must:

- be subject to the quality provisions of the LEC
- comply with the stipulations of this framework
- meet the needs of the employer and apprentice.

A sample Training Plan is given in the appendix of this document.

13 Career progression

Following the completion of the Modern Apprenticeship, candidates should be able to achieve positions in areas such as:

- Training Manager
- Training Officer
- Training Quality Manager
- College Tutor (Vocational)
- Human Resources Manager
- Training and Development Officer
- Learning Centre Supervisor

14 Organisational responsibilities

Three types of organisation have responsibility for ensuring that the Modern Apprenticeship programme is implemented to the highest possible standard:

- Sector Skills Councils (SSCs)
- Local Enterprise Companies (LECs)
- Awarding bodies.

Sector Skills Councils

Sector Skills Councils have responsibility for the development, promotion and implementation of this framework in Scotland. The SSC will act as the first point of contact for those wishing further information about the framework.

Specifically, the SSC is responsible for the following:

- approval of Scottish Modern Apprenticeship Centres (MACs) for the delivery of the apprenticeship (see Appendix 2)
- registration and monitoring of individual modern apprentices
- certification of the successfully completed apprenticeship
- the review of the framework in light of future experience to ensure the framework continues to meet the needs of apprentices and employers.

The SSC will maintain a database of MACs approved for the delivery of the framework within Scotland which will be available for employers and others. In addition the SSC will work with Awarding Bodies to ensure that quality assurance is maintained within the centres.

The Local Enterprise Company (LEC)

Funding to assist with the training and assessment of Modern Apprenticeships in Scotland is through Skillseekers which is administered in each area by the appropriate Local Enterprise Company (LEC).

The funding levels in the Scottish Enterprise area have been harmonised to create consistency across the network of LECs. In the Highlands and Islands Enterprise area, LECs still have discretion to set their own funding levels according to local needs.

It is important that the appropriate LEC be contacted as early as possible when contemplating a Modern Apprenticeship and that the basis of any funding be agreed before any contracts of employment or training agreements are entered into by any one of the parties involved.

The awarding bodies

A significant proportion of the Modern Apprenticeship is based on the assessment of the apprentice against S/NVQs or S/NVQ units. These qualifications are accredited by the Scottish Qualifications Authority (SQA) and offered by Awarding Bodies.

It is the responsibility of the Awarding Bodies to ensure that centres are approved, that assessors and verifiers are suitably qualified, trained and monitored, and that all of the assessment criteria of the S/NVQs and S/NVQ units are fully met.

Appendices

Appendix 1

Employer consultation

A Steering Group was convened in July 2002 to oversee the development of this framework. The group comprised of the following:

Alison Bulley	Shetland Islands Council
Carol Adams	Employment NTO
Celia Carson	Scottish Council of Voluntary Organisations
Fiona Duncan	Dundee College
Gordon Stewardson	James Watt College
Ian McCulloch	University of Strathclyde
Jennie Nicell	Standard Life
Liz Speake	Further Education NTO
Margaret Heavisides	Stevenson College
Margo Findlay	Training Matters
Mary Garrity	Scottish Qualifications Authority
Nan McIntyre	Scottish Enterprise Glasgow
Stuart McKenna	SCONTO
Patsy Allen	Lauder College
Sharon Hamilton	Scottish Enterprise Renfrewshire
Stephen Old	Management Introductions
Susan McBride	JHP Training
Wendy Mallin	Fife College

A postal questionnaire was sent to 110 organisations across Scotland seeking their endorsement of the framework. The organisations were selected from a database produced by the Employment NTO, which contains details of organisations that have contacted the Employment NTO in the last year seeking information about SVQs or Modern Apprenticeship in Learning and Development. 17 questionnaires were returned – with only one organisation disagreeing with the proposed structure of the framework.

Appendix 2

Modern Apprenticeship Centres (MACs)

Modern Apprentices may only be registered through organisations approved by the SSC to deliver this framework. Such approved organisations are called Modern Apprenticeship Centres (MACs)

The MAC may be the employer of the apprentice or a separate organisation such as a training provider, College of FE, or similar.

In order to be approved, organisations must make a formal application to the SSC, seeking approval and establishing that the centre satisfies the following criteria:

Either

- 1 Be approved by an appropriate Awarding Body as a centre for the assessment of the relevant S/NVQ(s) at level 3 (and Scottish Core Skills if these are being separately certificated)
- or
- 2 be capable of demonstrating a contractual relationship with another approved centre for the assessment of those units for which the MAC does not have approval from an appropriate Awarding Body.

In addition

For organisations in receipt of LEC funding, the organisation must be accredited with the Scottish Quality Management System standard (SQMS) or other quality system accepted by the LEC.

The SSC will maintain a database of MACs for the delivery of the framework within Scotland, which will be available for employers and others.

Organisations wishing to become MACs who have yet to obtain the necessary Awarding Body approval for assessment should first contact the Awarding Body direct.

Organisations wishing to be accredited with SQMS (or other appropriate quality system) should contact their LEC.

In addition to the assessment of the modern apprentice against the relevant standards set by the selected framework outcomes, the MAC has responsibility for:

- Entering into a formal training agreement with the employer and modern apprentice
- Registration with an appropriate Awarding Body of the modern apprentice as a candidate for the relevant S/NVQ(s) and other selected units.
- Registration with the SSC of the apprenticeship.
- Application on behalf of the modern apprentice for final certificate of modern apprenticeship accomplishment.
- Informing the SSC of any material alterations to the modern apprentice's training plan or desired changes to the selected framework outcomes.

Appendix 3



MODERN APPRENTICESHIP SAMPLE TRAINING AGREEMENT

This Training Agreement is entered into by:

Name of Employer:	
Name of Modern Apprentice:	
Name of Modern Apprenticeship Centre:	

The **Employer’s responsibilities** are to:

- 1 employ the modern apprentice subject to the employer’s usual terms and conditions of employment;
- 2 provide the modern apprentice with the facilities, training and work place opportunities necessary to achieve the selected framework outcomes specified in the apprentice’s personal training plan;
- 3 pay the modern apprentice an agreed salary which reflects the obligations of the employer and the opportunities for the apprentice;
- 4 in the event of the employer becoming unable to retain the modern apprentice after completion of the apprenticeship, to use reasonable endeavours to secure employment elsewhere;
- 5 in the event of the apprenticeship being terminated prematurely by either the employer or modern apprentice for any reason other than dismissal for unsatisfactory performance or misconduct, to use reasonable endeavours to secure employment and continuation of this apprenticeship elsewhere;
- 6 operate a formal Health and Safety policy and undertake the necessary legal and contractual responsibilities for health and safety of the modern apprentice; and
- 7 operate an Equal Opportunities policy which meets all legal requirements.

The **Modern Apprentice’s responsibilities** are to:

- 1 work for the employer in accordance with the agreed terms and conditions of employment;
- 2 undertake training, attend courses if required, keep records, and take assessments to be determined by the employer and/or Modern Apprenticeship Centre, and carry out such work as may be required in order to achieve the selected framework outcomes specified in the apprentice’s personal training plan;
- 3 be diligent, punctual, behave in a responsible manner and in accordance with the requirements of Health and Safety legislation relating to the apprentice’s responsibilities as an individual; and
- 4 promote at all times the employer’s best interests.

The **Modern Apprenticeship Centre’s responsibilities** are to:

- 1 agree the content of the modern apprentice’s personal training plan as confirming that the selected framework outcomes and training plans meet the criteria of this modern apprenticeship
- 2 contract with the employer to provide the training and assessment necessary to enable the modern apprentice to achieve the selected framework outcomes specified in the apprentice’s personal training plan; and
- 3 use its best endeavours to ensure that the employer provides the modern apprentice with the facilities, training and work place opportunities necessary to achieve the selected framework outcomes specified in the apprentice’s personal training plan.

This agreement to be signed by all parties:

Employer		Date:
Modern Apprentice <i>(or Parent/Guardian, if under 18)</i>		Date:
Modern Apprenticeship Centre		Date:

MODERN
 **APPRENTICESHIPS**
LEARNING AND DEVELOPMENT
MODERN APPRENTICESHIP TRAINING PLAN

The Modern Apprenticeship Centre

Name:
Address:
Telephone:
Contact:

The Modern Apprentice

Full name:
Home address:
Work address:
Date of birth:

The Employer

Name:
Address:
Telephone:
Contact:

The Local Enterprise Company (if applicable)

Name:
Address:
Telephone:
Contact:

Framework selected outcomes

If you require assistance in completing this form, please contact:

*Stuart McKenna
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28 Castle Street
Edinburgh EH2 3HT
Tel: 0131 226 7726
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